



## James I. Glasser

PARTNER

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Jim is the Chair of Wiggin and Dana's Litigation Department. He is an experienced trial lawyer and appellate advocate who has successfully represented corporations and individuals in complex civil litigation and in investigations and prosecutions conducted by the U.S. Department of Justice (DOJ), the Securities and Exchange Commission, FINRA, the U.S. Department of State, the Department of Commerce, State Attorneys General, and other federal and state regulators. Mr. Glasser also regularly conducts internal investigations and ensures corporate compliance for companies, including those in the financial, defense and healthcare industries.

Jim is a Fellow of the American College of Trial Lawyers and serves as Deputy Chair of the State Committee. Jim teaches trial practice at Yale Law School. When he was employed by the Department Of Justice, Jim was a frequent lecturer at its National Advocacy Center, and helped to design and develop the DOJ's advanced trial advocacy course. Jim has been chosen for listing in the litigation category of *Chambers USA: America's Leading Lawyers*. He is described by interviewees as "a consummate professional" and "a great strategist." He is experienced in areas such as securities litigation, False Claims Act litigation, complex civil litigation, and FCPA matters. Jim has been chosen by his peers for listing in *The Best Lawyers in America* in the category of White Collar Criminal Defense. *Benchmark Litigation*, 2015 edition, has recognized Jim as a "litigation star" in the categories of Appellate and White Collar/ Enforcement/Investigations. In 2017 and 2018 *Super Lawyers* recognized Jim as one of the 10 best lawyers in Connecticut. In 2015, Jim was selected by the *Connecticut Law Tribune* to receive its inaugural Professional Excellence Award, which honors attorneys with 30 or more years of experience and who have made substantial contributions to the practice of law.

Jim is also an accomplished appellate lawyer, having briefed and argued 49 cases in the U.S. Court of Appeals for the Second Circuit.

Recent representations include:

- Representing a Chief Financial Officer in a Foreign Corrupt Practices Act case and obtaining an immunity agreement from government authorities.
- Representing a Chief Operating Officer in a Foreign Corrupt Practices Act case and receiving a non-prosecution agreement from government authorities.
- Representing the principal of a hedge fund against charges brought by the federal government and the U.S. Securities and Exchange Commission.
- Representing a publicly traded company victimized by embezzlement by a high-level employee.
- Representing an international youth serving organization against allegations that volunteer adult leaders sexually molested children.

Jim serves on the Committee on Admissions and Grievances for the Court of Appeals for the Second Circuit and was appointed by the Judges of the District Court as Chair of the Federal Grievance Committee for the District of Connecticut. Jim has served on the Merit Selection Committee to consider the appointment of the Chief Federal Public Defender for Connecticut, and has served on a committee to consider the reappointment of Connecticut's U.S. Magistrate Judges.

Prior to joining Wiggin and Dana, Jim was a federal prosecutor for nearly two decades with the U.S. Attorney's Office for the District of Connecticut, where he held senior positions including Counsel to the U.S. Attorney, Chief of the Criminal Division and Chief of Appeals. In addition, he chaired Connecticut's Corporate Fraud Working Group, the Financial Fraud Working Group, and Connecticut's Anti-Gang and Project Safe Neighborhoods initiatives. Jim was appointed to serve on the U.S. Attorney General's Criminal Chiefs' Working Group and was appointed to lead Connecticut's Anti-Terrorism Task Force immediately after September 11, 2001.

During his tenure as a prosecutor, Jim investigated and prosecuted complex criminal cases involving a variety of federal offenses, including official and political corruption, corporate fraud and financial institution fraud, tax violations, civil rights violations, export control offenses, money laundering, Racketeer Influenced and Corrupt Organizations Act (RICO) violations, violent crime, and drug trafficking. Jim received numerous awards and commendations for his service as a prosecutor, including the DOJ's Director's Award and the District of Connecticut's coveted "Standing Tall" award.

Before joining the DOJ, Jim was a litigation associate at the New York office of Mudge Rose Guthrie Alexander & Ferdon, where he worked on complex multi-district litigation, civil RICO, fraud, contract, and Medicaid fraud matters.

As a defense lawyer, Jim has lectured at the New York County Lawyer's Association, the Society for International Affairs, the American Internal Health Care Auditors Association, the Connecticut Hospital Association, the Connecticut Bar Association's Federal Practice Section, and many other forums. Jim is on the Board of Editors at the *Federal Bar Council Quarterly* and has authored numerous articles of interest to defense counsel. Jim serves on the Federal Bar Council's Criminal Practice Committee.

Jim is a James W. Cooper Fellow of the Connecticut Bar Association and a member of the American Bar Association, the Federal Bar Council, the U.S. Supreme Court Historical Society, and the Society for International Affairs. He also serves on the regional board of the Anti-Defamation League and on the Advisory Board for Connecticut's Juvenile Training School. Jim also serves on the Board of CT Star—a 501(c)(3) corporation that supports Connecticut's Support Court initiative. Jim is admitted to practice law in New York and Connecticut and to practice before the U.S. Supreme Court, the Court of Appeals for the Second Circuit, and the District Courts in Connecticut and in the Eastern and Southern Districts of New York.

## Education

- Brooklyn Law School (J.D., 1985)
- University of New Hampshire (B.A., 1981)

## Bar Admissions

- Connecticut
- New York

## Court Admissions

- US Court of Appeals for the Second Circuit
- US District Court (District of Connecticut)
- US District Court (Eastern District of New York)
- US District Court (Southern District of New York)
- US Supreme Court

## Memberships and Affiliations

- American Bar Association
- Connecticut Bar Association
- Federal Bar Council

## Publications

February 5, 2018

**DOJ's New FCPA Enforcement Policy: Clarity for Companies and a Warning for Individuals**

*Connecticut Law Tribune*

October 30, 2017

**Restitution for the Corporate Victim**

*Connecticut Law Tribune*

July 17, 2017

**Exploring the Boundaries of the Fifth Amendment**

*Connecticut Law Tribune*

June 29, 2017

**Fourth Amendment Exception Allows Customs to Search Personal Devices**

*Connecticut Law Tribune, May 24, 2017 edition*

March 24, 2016

**Chinese National Pleads Guilty to Conspiracy to Commit Cyber Theft of Export-Controlled Technology**

February 18, 2016

**CMS Issues Long-Awaited Final Rule on Reporting and Returning Overpayments**

November 5, 2015

**U.S. Files Criminal Complaint Against Individual for Alleged ITAR Violations**

September 28, 2015

**Abacus Federal Savings Bank And the Dangers of Cooperation Without Representation**

*New York Law Journal*

June 22, 2015

**Five Tips from Wiggin and Dana's Health Care Compliance and Enforcement Roundtable**

May 1, 2015

**Getting the Board on Board: The OIG Releases Practical Guidance for Health Care Governing Boards on Compliance Oversight**

February 1, 2015

**2014's Top Second Circuit Decisions from Connecticut**

*Connecticut Lawyer*, Vol. 25, No. 6

December 13, 2014

**P.T. Barnum, Justice Harlan, and Connecticut's Role in the Development of the Right to Privacy**

*Federal Bar Council Quarterly*, Sept./Oct./Nov. 2014, Vol. XXII, No. 1

August 21, 2014

**Jeffrey A. Meyer Appointed U.S. District Judge for the District of Connecticut**

*Federal Bar Council Quarterly*, June/July/August 2014

June 18, 2014

**Export Basics For The UAV Industry**

April 9, 2014

**Proper Prescription and Controlled Substance Practices: A Review of Federal and State Requirements**

April 9, 2014

**The Connecticut Prescription Monitoring and Reporting System**

March 17, 2014

**Commerce Department Hints at Broadening Export Enforcement**

May 13, 2013

**Office of Inspector General Releases Guidance on Compliance with Exclusion List Screening**

April 26, 2013

**Office of Inspector General Revamps Self-Disclosure Protocol**

November 19, 2012

**The DOJ and SEC Release Important New FCPA Guidelines**

February 1, 2012

**2011's Top Eleven Second Circuit Decisions from Connecticut**

*Connecticut Lawyer*, February 2012

November 23, 2011

**"Family Remittances" from U.S. to Iran Not Prohibited by Iranian Transactions Regulations**

September 22, 2011

**New Laws Add More Muscle to Connecticut False Claims Act**

February 3, 2011

**Recent Developments Highlight The Breadth of OIG's Exclusion Authority**

February 1, 2011

**David B. Fein: Connecticut's 50th U.S. Attorney**

*Federal Bar Council Quarterly, Vol. XVIII, No. 2*

November 10, 2010

**Summary of Wiggin and Dana's Third Annual Health Care Compliance and Enforcement Roundtable**

September 20, 2010

**Bureau of Industry and Security Seeking Comments on 7 New Best Practices**

March 1, 2010

**The Top Nine of 2009**

*Connecticut Lawyer*

May 28, 2009

**Restitution for the Corporate Victim**

*Criminal Litigation, the Newsletter of the ABA's Section of Litigation, Committee on Criminal Litigation, Spring 2009*

March 11, 2009

**Billing Federal Health Care Programs for Excluded Individuals and Entities is a Government Enforcement Priority**

January 28, 2009

**New ATF Regulations**

December 19, 2008

**OIG Issues Supplemental Compliance Program Guidance for Nursing Facilities**

September 29, 2008

**New Data Privacy Law in Connecticut Imposes Stiff Penalties**

August 29, 2008

**Good E-Mail Practices Will Help to Avoid 'Smoking Gun' E-Mails**

*White Collar Crime Report - BNA, Inc.*

August 7, 2008

**Rulings Shrink Money Laundering Statutes**

*Connecticut Law Tribune, Vol. 34, No. 29*

July 30, 2008

**The Need For Expert Testimony**

*Connecticut Law Tribune, Vol. 34, No. 21*

July 21, 2008

**Rulings Shrink Money Laundering Statutes**

*Connecticut Law Tribune*

December 12, 2007

**Electronic Case Filing: Balancing Public Access with the Need to Protect the Integrity of Investigation and the Safety of Witnesses**

*Committee on Criminal Litigation Newsletter - Fall 2007 Vol. 7 No. 1*

December 12, 2007

**United States v. Stein - Was the Government's Conduct Outrageous?**

*Criminal Litigation, the Newsletter of the ABA's Section of Litigation, Committee on Criminal Litigation, Fall 2007*

March 30, 2005

**Conflicts of Interest in Criminal Cases: Protecting the Sixth Amendment Right to Effective Assistance of Counsel**

*Committee on Criminal Litigation Newsletter, ABA Section on Litigation, Spring 2005*