WIGGIN
WIGGIN AND DANA



Michael Grundei

PARTNER

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Mike is a corporate transactional lawyer focused on finding practical, actionable solutions for a wide range of clients, including public companies (often small- and mid-cap companies listed on NASDAQ), private equity firms and their portfolio companies, large family-owned businesses, development-stage enterprises, and entrepreneurs. Mike is a trusted advisor and often serves as outside general counsel to his clients.

As a Partner in Wiggin and Dana's Corporate Department, he believes legal issues can always be solved in a business-minded way. That is his goal as he assists clients in domestic and cross-border mergers, acquisitions, and divestitures; public offerings and private placements of equity, debt, and hybrid securities, including extensive experience in PIPE transactions; public company compliance with federal and state securities laws and reporting requirements; corporate governance; exchange and tender offers; and general business counseling (including assisting in the organization, development, and financing of growth-stage companies).

For example, Mike has recently advised clients in strategic acquisitions and divestitures; underwritten public offerings; secured-debt-financing transactions; acquisitions by major media companies; and financial restructurings.

Mike's practice spans a broad range of companies and industries, including biotechnology and medical device, paper products and coatings, software and technology, automotive parts, specialty manufacturing and distribution companies, service companies, and investment funds.

Mike earned his law degree from Duke University School of Law and graduated *magna cum laude* from the University of Pennsylvania.

Education

- Duke University School of Law (J.D., 1989)
- University of Pennsylvania (B.A., 1986)
    - magna cum laude

Bar Admissions

- Connecticut
- New York

Publications

February 21, 2025
2024 M&A Year-End Report

February 15, 2024
2023 M&A Year-End Report

February 10, 2023
2022 M&A End Year Report

January 13, 2022
2021 M&A Year End Report

February 18, 2020
BioInsights Newsletter, Winter 2020

August 2, 2017
The SEC Speaks Up on Blockchain-enabled Token Sales

March 7, 2017
SEC Issues Rules Governing Exhibit Hyperlinks and HTML Format

August 14, 2015
SEC Issues Interpretive Guidance on General Solicitations

May 15, 2015
Attention Those With Foreign Affiliates: the Form BE-10 Deadline is Coming Up

April 13, 2015
The New “Reg A+” Opens Doors for Private Companies to Raise Capital

December 16, 2014
Administrative Dissolution and Revocation of Authority to do Business – The New Penalty in Connecticut for Failing to File Your Annual Report

June 18, 2014
Delaware Supreme Court Holds that Fee-Shifting Bylaws are Presumptively Valid

December 3, 2013
SEC Proposes Onerous Rules to Implement JOBS Act Provision Allowing Crowdfunding

July 17, 2013
SEC Adopts Final Rules Allowing General Solicitation in Private Placements but Takes Other Significant Actions that May Impact Fundraising

April 15, 2013
“Follow Us on Twitter” – SEC Makes Clear That Companies May Utilize Social Media Channels for Corporate Communications Under Certain Circumstances

February 21, 2013
Smaller Reporting Companies Subject to Say-on-Pay Rules in the 2013 Proxy Season

January 14, 2013
Netflix Encounters SEC Inquiry For Social Media Communications

November 15, 2012
The SEC’s Second Annual Report On Its Whistleblower Program Reemphasizes The Need To Be Prepared For Increased Whistleblowing Activity

September 10, 2012
SEC Proposes Rules to Implement JOBS Act Provision Allowing General Solicitation and Advertising in Private Placements

July 19, 2012
SEC Issues Final Rules on Compensation Committee Independence and Disclosure

June 29, 2012
Proxy Access Makes a Comeback

May 1, 2012
Implementing Exclusive Forum Selection Clauses: Now or Never?

April 4, 2012
JOBS Act Seeks to Ease Capital Raising for Emerging Growth Companies

March 30, 2012
Say-on-Pay: Disclosing Decisions on Frequency of Vote

February 7, 2012
SEC’s Division of Corporation Finance Issues Guidance on Cybersecurity Disclosure

January 18, 2012
Ringing in the New Year with a Fresh Look at Public Company Communications in the Era of Social Media

August 1, 2011
SEC Proposes Amendments to Regulation D Rule 506 to Disqualify “Bad Actors” From Private Placement Transactions

December 3, 2010
SEC Settlement Highlights the Importance of Avoiding Selective Disclosure

September 17, 2009
Summary of 2009 Amendments to the Connecticut Business Corporation Act

July 31, 2008
SEC Proposes XBRL Tagging of Filings

February 29, 2000

SEC Proposes Selective Disclosure Regulations

October 5, 1998

Keeping Your Client Whole in a Shark-Infested Marketplace