WIGGIN
WIGGIN AND DANA



James I. Glasser

PARTNER

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Jim is a Partner and the immediate past Chair of Wiggin and Dana's Litigation Department. According to the 2024 edition of *Chambers USA*: Jim "is a highly sophisticated lawyer who provides outstanding service to his clients," and "brings massive credibility combined with extraordinary judgment" to his clients' matters.

Jim is an experienced trial lawyer and appellate advocate who has successfully represented corporations and individuals in complex civil litigation and in investigations and prosecutions conducted by the U.S. Department of Justice (DOJ), the U.K. Financial Conduct Authority, the Securities and Exchange Commission, FINRA, the U.S. Department of State, the Department of Commerce, State Attorneys General, and other federal and state regulators. Jim also regularly conducts internal investigations and ensures corporate compliance for companies, including those in the financial, defense, and healthcare industries.

Jim was awarded the American Inn's of Court Professionalism Award in 2020. He is a Fellow of the American College of Trial Lawyers and teaches trial practice at Yale Law School. When he was a prosecutor with the Department Of Justice, Jim was a frequent lecturer at its National Advocacy Center and helped design and develop the DOJ's advanced trial advocacy course. Jim is described by clients as "a consummate professional" and "a great strategist." Jim has considerable experience defending white collar matters, including mail and wire fraud and alleged violations of the Foreign Corrupt Practices Act, and handling complex civil litigation, including securities litigation and defending qui tam actions alleging violations of the False Claims Act. Jim has been chosen for listing in Band 1 of the litigation category of *Chambers USA: America's Leading Lawyers*. Jim has also been chosen by his peers for listing in *The Best Lawyers in America* in the category of White Collar Criminal Defense. *Benchmark Litigation* has recognized Jim as a "Litigation Star" in the categories of Appellate and White Collar/ Enforcement/Investigations. In 2015, Jim was selected by the *Connecticut Law Tribune* to receive its inaugural Professional Excellence Award, which honors attorneys with 30 or more years of experience and who have made substantial contributions to the practice of law.

Jim is also an accomplished appellate lawyer, having briefed and argued 49 cases in the U.S. Court of Appeals for the Second Circuit.

Jim serves on the Committee on Admissions and Grievances for the Court of Appeals for the Second Circuit and was appointed by the Judges of the District Court as Chair of the Federal Grievance Committee for the District of Connecticut. Jim has served on the Merit Selection Committee to consider the appointment and reappointment of the Chief Federal Public Defender for Connecticut, and has served on a committee to consider the reappointment of Connecticut's U.S. Magistrate Judges.

Prior to joining Wiggin and Dana, Jim was a federal prosecutor for nearly two decades with the U.S. Attorney's Office for the District of Connecticut, where he held senior positions including Counsel to the U.S. Attorney, Chief of the Criminal Division, and Chief of Appeals. In addition, he chaired Connecticut's Corporate Fraud Working Group, the Financial Fraud Working Group, and Connecticut's Anti-Gang and Project Safe Neighborhoods initiatives. Jim was appointed to serve on the U.S. Attorney General's Criminal Chiefs' Working Group and he was appointed to lead Connecticut's Anti-Terrorism Task Force immediately after September 11, 2001.

During his tenure as a prosecutor, Jim investigated and prosecuted complex criminal cases involving a variety of federal offenses, including official and political corruption, corporate fraud and financial institution fraud, tax violations, civil rights violations, export control offenses, money laundering, Racketeer Influenced and Corrupt Organizations Act (RICO) violations, violent crime, and drug trafficking. Jim received numerous awards and commendations for his service as a prosecutor, including the DOJ's Director's Award and the District of Connecticut's coveted "Standing Tall" award.

Before joining the DOJ, Jim was a litigation associate at the New York office of Mudge Rose Guthrie Alexander & Ferdon, where he worked on complex multi-district litigation, civil RICO, fraud, contract, and Medicaid fraud matters.

Jim is a James W. Cooper Fellow of the Connecticut Bar Association and a member of the American Bar Association, the U.S. Supreme Court Historical Society, and the Society for International Affairs. Jim is a member of the Federal Bar Council, is on the Board of Editors of the Federal Bar Council Quarterly, and is a member of the Council's Criminal Practice Committee. Jim also serves on the regional board of the Anti-Defamation League. Jim is President of the Board of CT Star—a 501(c)(3) corporation that supports Connecticut's Support Court initiative. Jim is admitted to practice law in New York and Connecticut and to practice before the U.S. Supreme Court, the Court of Appeals for the Second Circuit, and the District Courts in Connecticut and the Eastern and Southern Districts of New York.

Education

- Brooklyn Law School (J.D., 1985)

Bar Admissions

- Connecticut
- New York

Court Admissions

- US Court of Appeals for the Second Circuit
- US District Court (District of Connecticut)
- US District Court (Eastern District of New York)
- US District Court (Southern District of New York)

- US Supreme Court

Memberships and Affiliations

- American Bar Association
- Connecticut Bar Association
- Federal Bar Council

Publications

February 5, 2018
DOJ's New FCPA Enforcement Policy: Clarity for Companies and a Warning for Individuals
Connecticut Law Tribune

October 30, 2017
Restitution for the Corporate Victim
Connecticut Law Tribune

July 17, 2017
Exploring the Boundaries of the Fifth Amendment
Connecticut Law Tribune

June 29, 2017
Fourth Amendment Exception Allows Customs to Search Personal Devices
Connecticut Law Tribune, May 24, 2017 edition

March 24, 2016
Chinese National Pleads Guilty to Conspiracy to Commit Cyber Theft of Export-Controlled Technology

February 18, 2016
CMS Issues Long-Awaited Final Rule on Reporting and Returning Overpayments

November 5, 2015
U.S. Files Criminal Complaint Against Individual for Alleged ITAR Violations

September 28, 2015
Abacus Federal Savings Bank And the Dangers of Cooperation Without Representation
New York Law Journal

June 22, 2015
Five Tips from Wiggin and Dana's Health Care Compliance and Enforcement Roundtable

May 1, 2015
Getting the Board on Board: The OIG Releases Practical Guidance for Health Care Governing Boards on Compliance Oversight

February 1, 2015
2014's Top Second Circuit Decisions from Connecticut
Connecticut Lawyer, Vol. 25, No. 6

December 13, 2014
P.T. Barnum, Justice Harlan, and Connecticut's Role in the Development of the Right to Privacy
Federal Bar Council Quarterly, Sept./Oct./Nov. 2014, Vol. XXII, No. 1

August 21, 2014
Jeffrey A. Meyer Appointed U.S. District Judge for the District of Connecticut
Federal Bar Council Quarterly, June/July/August 2014

June 18, 2014
Export Basics For The UAV Industry

April 9, 2014
The Connecticut Prescription Monitoring and Reporting System

April 9, 2014
Proper Prescription and Controlled Substance Practices: A Review of Federal and State Requirements

March 17, 2014
Commerce Department Hints at Broadening Export Enforcement

May 13, 2013
Office of Inspector General Releases Guidance on Compliance with Exclusion List Screening

April 26, 2013
Office of Inspector General Revamps Self-Disclosure Protocol

November 19, 2012
The DOJ and SEC Release Important New FCPA Guidelines

February 1, 2012
2011's Top Eleven Second Circuit Decisions from Connecticut
Connecticut Lawyer, February 2012

November 23, 2011
"Family Remittances" from U.S. to Iran Not Prohibited by Iranian Transactions Regulations

September 22, 2011
New Laws Add More Muscle to Connecticut False Claims Act

February 3, 2011
Recent Developments Highlight The Breadth of OIG's Exclusion Authority

February 1, 2011
David B. Fein: Connecticut's 50th U.S. Attorney
Federal Bar Council Quarterly, Vol. XVIII, No. 2

November 10, 2010
Summary of Wiggin and Dana's Third Annual Health Care Compliance and Enforcement Roundtable

September 20, 2010
Bureau of Industry and Security Seeking Comments on 7 New Best Practices

March 1, 2010
The Top Nine of 2009
Connecticut Lawyer

May 28, 2009
Restitution for the Corporate Victim
Criminal Litigation, the Newsletter of the ABA's Section of Litigation, Committee on Criminal Litigation, Spring 2009

March 11, 2009
Billing Federal Health Care Programs for Excluded Individuals and Entities is a Government Enforcement Priority

January 28, 2009
New ATF Regulations

December 19, 2008
OIG Issues Supplemental Compliance Program Guidance for Nursing Facilities

September 29, 2008
New Data Privacy Law in Connecticut Imposes Stiff Penalties

August 29, 2008
Good E-Mail Practices Will Help to Avoid 'Smoking Gun' E-Mails
White Collar Crime Report - BNA, Inc.

August 7, 2008
Rulings Shrink Money Laundering Statutes
Connecticut Law Tribune, Vol. 34, No. 29

July 30, 2008
The Need For Expert Testimony
Connecticut Law Tribune, Vol. 34, No. 21

July 21, 2008
Rulings Shrink Money Laundering Statutes
Connecticut Law Tribune

December 12, 2007
Electronic Case Filing: Balancing Public Access with the Need to Protect the Integrity of Investigation and the Safety of Witnesses
Committee on Criminal Litigation Newsletter - Fall 2007 Vol. 7 No. 1

December 12, 2007
United States v. Stein – Was the Government's Conduct Outrageous?
Criminal Litigation, the Newsletter of the ABA's Section of Litigation, Committee on Criminal Litigation, Fall 2007

March 30, 2005
Conflicts of Interest in Criminal Cases: Protecting the Sixth Amendment Right to Effective Assistance of Counsel
Committee on Criminal Litigation Newsletter, ABA Section on Litigation, Spring 2005